

:HVW 9DOOH\ 0LVVLRQ &
&ROOHJH 'LVWULFW

. (< 32/, &<
\$1' 352&('85(6

,Q RUGHU WR PDLQWDLQ D VHFXUH OHDUQL
HQYLURQPHQW DW :HVW 9DOOH\ DQG 0LVVLRQ
DXWKRULJHG GLVWULFW SHUVRQQHO ZLOO
DFFRUGDQFH ZLWK WKH SROLF\ DQG SURFH

, 32/, &<

'LVWULFW NH\V DUH LVVXHG WR HWSORWH SHUVRQQHOV DUH
DXWKRULJHG WR UHFHLYH DQG XVH 'LVWULFW NH\V DFFRU
SROLF\PSOR\HHV DUH UHVSQRVLEOH IRU DQ\ DQG DOO NH\V
(PSOR\HHV VKDOO QRW ORDQ RU GXSOLFDWH 'LVWULFW M
UHDVRQV WKH WUDQVIHU RU UH DVVLJQPHQW RI DQ\ 'LV
LVWULFWO\ SURKLELWHG

&DOLIRUQLD 3HQDO &RGH 6HFWLRQ

³\$Q\ SHUVRQ ZKR NQRZLQJO\ PDNHV GXSOLFDWHV F
XVHV RU DWWHPSWV WR PDNH GXSOLFDWH FDXVH V
KLV SRVVHVLRQ DQ\ NH\ WR EXLOGLQJV RU RWKHU D
FRQWUROOHG E\ WKH 6WDWH RI &DOLIRUQLD DQ\ VW
D FRXQW\ FLW\ RU DQ\ SXEOLF VFKRRO RU FRPPXQLV
DXWKRULJDWLRQ IURP WKH SHUVRQ LQ FKDUJH RI VXI
GHVLJQDWHG UHSUHVHQWDWLYH DQG ZLWK NQRZOHG
DXWKRULJDWLRQ\ RI D PLVGHPHDQRU '

\$OO NH\V LVVXHG E\ WKH :HVW 9DOOH\ 0LVVLRQ &RPPXQL
SURSHUW\ RI :HVW 9DOOH\ 0LVVLRQ &RPPXQLW\ &ROOHJH
WR UHFDOO DW DQ\ WLPH DV GHHPHG QHFHVVDU\ E\ WKH
8SRQSDUHDWLRQ IURP WKH 'LVWULFW DOO NH\V PXVW EH U
)DFLOLWLHV 2IILFH (PSOR\HHV DUH QRW SHUPLWWHG WR
ZLOO EH JUDQWHG XQOHVV GHVFULEHG LQ WKH SURFHGX
SRPSWO\ WXUQH G LQ WR WKH 'LVWULFW 3ROLFH 'HSDUWP

1RW6PDUW &DUGV DUH EHLQJ LQWHJUDWHG WKURXJKRXW
WKH WHUP 6PDUW &DUG DQG .H\ ZLOO EH LQWHUFKDQJHD

,, 352&('85(6

,Q FRPSOLDQFH ZLWK WKH %RDUG RI 7UXVWHHV SROLF\
GHYHORSHG WR HQVXUH WKH VHFXULW\ RI 'LVWULFW SUR

\$ 1HZ .H\ ,VVXDQFH &DUG &OHDUDQFH

.H\V ~~DQG~~ NV DUH LVVXHG RU FKDQJHG E\ WKH 'LVWU
2IILFH ORFDWHG LQ WKH 'LVWULFW 2IILFHV DW WKH

.H\ UHTXHVWV DQG 6PDUW ~~ORR & DEB WONDIBYED~~ HV ZEDL
RU ZULWWHQ IRUP

\$OO .H\ 5HTXHVWV DQG FDUG FOHDUDQFHV PXVW E
6HQLRU 2IILFH &RRUGLQDW\ ~~RU V'LJN~~ ~~ORR & WDDWRU~~
\$OO UHTXHVWV PXVW EH VXEPLWWHG RQOLQH XVLQ
6\ ~~VH~~RU GHWDLOHG LQVWUXFWLRQV RQ VXEPLWWL
'LVWULFW)DFLOLWLHV ZHEVLWH

V

3ULRU WR UHFHLYLQJ WKH NH\ WKH HPSOR\HH ZLO
D.H\ &RQWURO ,GHQWLILFDWLRQ &DUG FRQVLVWLQ
UFRUG

- 1DPH RI SHUVRQ LVVXHG NH\
- (PSOR\HH &ROOHJH , ' 1XPEHU
- 'LYLVLRQ 'LVFLSOLQH
- &HUWLILFDWHG &ODVVLILHG HPSOR\HH
-)XOO 7LPH7RPH3DHWVPDQHQW
- 7\SH RI NH\ V LVVXHG
- \$PRXQW RI NH\ V LVVXHG
- .H\ QXPEHU
- 'DWH RI LVVXDQFH
- 'DWH .H\ V UHWXUQH

%\ VLJQLQJ WKH NH\ FDUG WKH UHFLSLHQW DJUHH
3ROLF\ DQG DJUHHWKWRVGRMUDQWFRDOGLWLRQV OL
FRPSOHWHG WKH VLJQHG .H\ &RQWURO ,GHQWLILF
'LVW)FDV LQWLHV 2IILFH IRU LQYHQWRU\ SHULRGLF
LGHQWLILR Q SUKHUSRUHG V

% 5HSODFHPHQW 'XSOLFDWH RI 2ULJLQDO .H\

/RVW 6WROHQ .H\V
\$GPLQLVWUDWRUV IDFXOW\ DQG VWDII ZLOO QRW E
DXWKRUL]HG NH\ V EXW ZLOO EH UHTXLUHG WR SD
KDYH EHHQ ORVW RU VWROHQ

,ID NH\ KDV EHHQ OLPVSMURDUVWIRORWLVG WKH GLOLJH
IROORZHG LQ VHDUEKILQWHRDQWZUNHTXVW LV VXE
(PSOR\HHV ZKR KDYL ORVW WKHLU NH\ V VKRXOG L
WKRVV WR WKH &DPSXV 3ROLFH 'HSDUWPHQW ORFD
7KH \$ROLFH GHSDUWPHQW ZLOO SURY\$GQHZ FDVH QX
NH\ UHTXHVV FRQWDLQLQJ WKH SROLFH FDVH QXPE
'HSDUWPHQW &KDLU 6HQLRU 2IILFH &RRUGLQDWRU I
WKH ROLNQU:GHU 6\VWHP

.H\)HHV

\$ SHU\UHSODFHPHQW IHH ZLOO EH DVVHVHVG WR V
VKRXOHEH ORVW RU VWROHQ ,I WKH ORVW NH\ V DUH
NH\ V KDYL EHHQ LVVXHG H\FHVV NH\ V VKDOO EH UH
2IILFH 7KHUH ZLOO EH QR UHIXQG RI UHSODFHPHQW
UHSRUWHG ORVW RU VWROHQ DQG DUH ODWHU UHFRY

/RVW 6WROHQ , ' 6PDUW &DUGV

(PSOR\HHV DUH H[SHFWHG, WFDUGVN HVJRXHG FDRU HW RHH
,IFDUGV DUH OSOMD RHU FVQVODHQ WKH 'LVWULFW 3RC

(6PDUW &DUG &OHDUDQFH

'LYLVLRQ 'HSDUWPHQW &KDLUV ~~RWBMQRRLVLEOLFHR&~~
VXEPLWWLQJ FDUG FOHDUDQFH UHTXHVWV YLD WKH
6\VWHP

\$OO &OHDUDQFHV ZLOO UHPDLQ DFWLYH XQWLO HL

- \$:RUN 2UGHU LV VXEPLWWHG UHTXHVWLQJ WKH
- 6HSDUDWLRQ RI WKH HPSOR\HH IURP WKH 'LVW
6H6HFWLRQ ' 5HWXUQ RI .H\V DERYH

) +LJK 6HFXULW\ .H\V

\$OO HPSOR\HHV DUH WR EH L\W KH